



SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)

證券交收指示 / 投資者交收指示

To: ARTA Global Markets Limited – Settlement Department

致: 裕承環球市場有限公司 – 交收部

Account No. 戶口號碼:		Account Name 客戶名稱:	
Name of CCASS Participant 中央結算系統參與者名稱	裕承環球市場有限公司 ARTA Global Markets Limited	CCASS Participant No. 中央結算系統參與者編號	B01852 (Tel 電話 3513 8033)
This is to authorize you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS:- 茲授權 貴公司經中央結算執行本人/吾等以下之交收指示:-			
<input type="checkbox"/> Please receive the following securities for my / our securities account maintained with ARTA Global Markets Limited 請將以下證券接收到本人/吾等於裕承環球市場有限公司開立之證券帳戶內			
<input type="checkbox"/> Please deliver the following securities from my / our securities account maintained with ARTA Global Markets Limited 請將以下證券從本人/吾等於裕承環球市場有限公司開立之證券帳戶內交出			

Details of Counterparty 交收對手資料:

Name of CCASS Participant 中央結算系統參與者名稱		CCASS Participant No. 中央結算系統參與者編號				
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期				
Stock Code 股票編號	Stock Name 股票名稱	Quantity 股數	Method of Settlement 交收方式		For Internal Use 內部使用	
			FOP 無需付款	DVP 貨銀對付	SI Input No.	SI Input Fee
			<input type="checkbox"/>	<input type="checkbox"/> HKD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD		

- This SI/ISI involves no change in beneficial ownership.
以上交收指示並不牽涉實益擁有人之變更。
- There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting.
以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。

Date 日期:	Client Signature(s) 客戶簽署:	Verified by
-------------	------------------------------	-------------

For Internal Use 內部使用

Handled by		Reviewed by		Approved by	Input by	Checked by
AE/RM	CS	Credit	Finance	RO/MD or above*	Settlement	Settlement

* RO up to HKD1m, Above HKD10m notify CEO