



SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)

證券債券交收指示 / 投資者交收指示

To: ARTA Global Markets Limited – Settlement Department (email: settlement@artatechfin.com)
 致: 裕承環球市場有限公司 - 交收部

Account No. 戶口號碼:		Account Name 客戶名稱:				
CCASS Participant No. 中央結算系統參與者編號		B01852	Bond Instruction 債券交收指示		Clearstream No. 39483	
This is to authorize you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS / Euroclear / Clearstream 茲授權 貴公司經中央結算/Euroclear/Clearstream 執行本人/吾等以下之交收指示:-						
<input type="checkbox"/> Please receive the following securities / bonds for my / our securities account maintained with ARTA Global Markets Limited 請將以下證券/債券接收到本人/吾等於裕承環球市場有限公司開立之證券帳戶內						
<input type="checkbox"/> Please deliver the following securities / bonds from my / our securities account maintained with ARTA Global Markets Limited 請將以下證券/債券從本人/吾等於裕承環球市場有限公司開立之證券帳戶內交出						
Details of Counterparty 交收對手資料:						
Name of Counterparty 交收對手名稱		CCASS Participant No. / Bond Instruction 中央結算系統參與者編號 / 債券交收指示				
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期				
Stock / ISIN Code 股票編號 / ISIN 代號	Stock Name / Bond Name 股票名稱 / 債券名稱	Quantity / Face Value 股數 / 面額	Method of Settlement 交收方式		For Internal Use 內部使用	
			FOP 無需付款	Currency DVP 貨幣對付	SI Input No.	SI Input Fee
			<input type="checkbox"/>	<input type="checkbox"/> HKD / USD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD / USD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD / USD		
			<input type="checkbox"/>	<input type="checkbox"/> HKD / USD		
<input type="checkbox"/> This SI/ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。						
<input type="checkbox"/> There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。						
Date: 日期:	Client / Authorized Signature(s): 客戶簽署:				Verified by	
For Internal Use 內部使用						
Handled by		Reviewed by		Approved by	Input by	Checked by
RM	CS	Credit	Finance	RO/MD or above*	Settlement	Settlement

* RO up to HKD1m, Above HKD10m notify CEO

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