

Global Securities Trading

Item		Rate	Minimum Charge
Applicable to the U.S. stock market			
Brokerage commissions	Low-touch Rate	0.08% of transaction amount (Per trade order)	USD 10
	High-touch Service	0.25% of transaction amount (Per trade order)	Minimum \$30 USD
Trading and clearing fees		Trading fee: USD 0.000145 per share (for sell transaction only) HKSF fee: 0.00229% x net amount of selling (for sell transaction only) NSCC fee: USD 0.25 per transaction (applicable to both buy and sell transaction) Clearing Firm Fee: USD 0.003 per share	-
Dividend tax		30% of total dividend	-
Corporate action expenses		USD 30 per transaction	-
Dividend Collection		USD 5 per transaction	-
Share Deposit		Free	
Share Withdrawal		USD 120 per transaction (No refund whether the instruction is successfully processed or not)	
Share deposit to / withdrawal from transfer office (FOP via DWAC / DRS)		USD 200 per transaction (No refund whether the instruction is successfully processed or not)	

Item		Rate	Minimum Charge
Applicable to Hong Kong stocks			
Brokerage commissions	Low-touch Rate	0.08% of transaction amount (Per trade order)	HKD 30
	High-touch Service	0.25% of transaction amount (Per trade order)	HKD 100
Odd Lots Trading Service Charges (For sell transaction only)			
Brokerage commissions	Low-touch Rate	0.08% of transaction amount (Per trade order)	HKD 30

	High-touch Service	0.25% of transaction amount (Per trade order)	HKD 100
Hong Kong trading market fees			
Stamp duty		HKD 1.00 for every HKD 1,000 transaction amount (0.10%) (Charge levied by HKSAR Government)	HKD 1
HKSFCC transaction levy		0.00270% of transaction amount (Charge levied by HKSFCC)	-
HKEx trading fees		0.00565% of transaction amount (Charge levied by HKEx)	-
Settlement fee		0.002% of transaction amount (Per trade order)	HKD 4
FRC Transaction Levy		0.00015% of transaction amount (Count to the nearest cent, and will be collected from January 1, 2022)	-

Item		Rate	Minimum Charge
Available for China Connect			
Trading commissions	Low-touch Rate	0.08% of transaction amount (Per trade order)	RMB 30
	High-touch Service	0.25% of transaction amount (Per trade order)	RMB 100
China Connect Market Fees			
Handling fee		0.00341% of bilateral transaction amount on A shares 0.004% of bilateral transaction amount on ETFs (Charge levied by SSE / SZSE)	-
Securities management fee		0.002% of bilateral transaction amount (Charge levied by CSRC)	-
Transfer fees		0.004% of bilateral transaction denomination (0.00200% charge levied by CSDC; 0.00200% charge levied by HKSCC)	-
Stamp duty (For sell transaction only)		0.050000% of transaction amount (Charge levied by China SAT)	-
Portfolio fee		0.008% p.a. of total market capitalization, daily accrual, monthly payment (Charge levied by HKSCC)	-

Item		Rate	Minimum Charge
Applicable to the U.K. stock market			
Brokerage commissions	Low-touch Rate	0.15% of transaction amount (Per trade order)	GBP 20
	High-touch Service	0.25% of transaction amount (Per trade order)	GBP 30
Transaction fees		PTM levy: GBP 1 Exchange fee: 0.0045% of transaction amount Stamp duty: GBP-denominated shares: 0.5% of transaction amount	-
Dividend tax		10% of total dividend	-
Dividend Collection		1% of net dividend	GBP 10

Item		Rate	Minimum Charge
Applicable to the Australian Stock Market			
Brokerage commissions	Low-touch Rate	0.20% of transaction amount (Per trade order)	AUD 30
	High-touch Service	0.25% of transaction amount (Per trade order)	AUD 50
Dividend tax		0% / 15% / 30% of total dividend (depending on client's nationality)	-
Dividend collection		1% of net dividend	AUD 10
Corporate action expenses		AUD 10 per transaction	-

Item		Rate	Minimum Charge
Applicable to the Singapore Stock Market			
Brokerage commissions	Low-touch Rate	0.25% of transaction amount (Per trade order)	SGD 15
	High-touch Service	0.25% of transaction amount (Per trade order)	SGD 15
Transaction fees		Settlement Fee: 0.0325% of transaction amount? Transaction fee: 0.0075% of transaction amount?	-
Dividend collection		1% of net dividend	SGD 10
Corporate action expenses		SGD 10 per transaction	-

Item		Rate	Minimum Charge
Applicable to the Japanese Stock Market			

Brokerage commissions	Low-touch Rate	0.20% of transaction amount (Per trade order)	JPY 2,000
	High-touch Service	0.25% of transaction amount (Per trade order)	JPY 3,000
Dividend tax		15.315% of total dividend	-
Dividend collection		1% of net dividend	JPY 500
Corporate action expenses		JPY 1,000 per transaction	-

Item	Rate	Minimum Charge
Applicable to the Canadian Stock Market		
Trading commissions	CAD 0.01 per share (Per trade order)	CAD 10
Dividend tax	30% of total dividend (may varies from each stock)	
Dividend collection	CAD 12 per transaction	-

Item	Rate	Minimum Charge
Applicable to the French Stock Market		
Trading commissions	0.50% of transaction amount (Per trade order)	EUR 10
Trading Fee	FTT: 0.20% (For buy transaction only)	
Dividend collection	EUR 10 per transaction	-

Item	Rate	Minimum Charge
Applicable to the German Stock Market		
Trading commissions	0.05% of transaction amount (Per trade order)	EUR 10
Dividend collection	EUR 10 per transaction	-

IPO Services

Item	Rate	Minimum Charge
IPO subscription fee	Cash	Low-touch Rate: Waived High-touch Service: HKD 20 per application
	Margin	HKD 100 per application

*No refund on subscription fee whether there is allocated shares / shares successfully debut.

Global Markets Corporate Bond Service Fees

Item		Rate	Minimum Charge
Brokerage commissions	Low-touch Rate	First USD 10,000 in Face Value: 0.11% of face value Additional face value above USD 10,000: 0.035% of face value	USD 1 Maximum: The smaller of USD 250 or 1.00% of transaction amount
	High-touch Service	0.25% of face value	-
Brokerage commissions (United States – Treasuries)	Low-touch Rate	First USD 1,000,000 in Face Value: 0.012% of face value Additional face value above USD 1,000,000: 0.0101% of face value	USD 5
	High-touch Service	0.015% of face value	
Bond deliver / receive fees		USD 38 per bond	-
Custody fees		0.39% p.a. of the total value	USD 280

Financing Rates

Currency	Margin Account Financing Charges	Cash Account Overdue Interest
HKD	HIBOR + 7.5% p.a	HIBOR + 7.5%
RMB	CNH HIBOR + 9% p.a	CNH HIBOR + 9% p.a.
USD	EFFR + 6.5% p.a	EFFR + 6.5% p.a.
Other currency	Upon Request	Upon Request

*Charges and interest are on daily accrued basis and by monthly payment.

*The above rates table does not apply to clients with specific trading agreement set up with AGML.

Physical scrip handling and settlement-related services

Item	Rate	Minimum Charge
Deposit	Certificate size \geq HKD 500,000: Free Certificate size $<$ HKD 500,000: HKD 300 (first certificate per day);	-

		HKD 100 (each additional certificate per day) HKD 5 per transfer form / share certificate	
Withdrawal		HKD 8.5 per board lot (Charge of HKD 3.5 per board lot levied by HKSCC)	HKD 400
SI / ISI Input	Delivery	HKD 5 per board lot	HKD 400
	Receipt	Waived	-

Nominee Services and Corporate Actions

Item	Rate	Minimum Charge
Registration & transfer fee	HKD 1.5 per board lot	HKD 1.5
Dividend collection	0.50% of dividend amount per stock	HKD 20 / RMB 20
Bonus share collection	HKD 2 per board lot	HKD 20 / RMB 20 (Maximum: HKD / RMB 2,000)
Warrants / Rights collection	HKD 2 per board lot	HKD 20 / RMB 20 (Maximum HKD / RMB 2,000)
Right exercise, public offering subscription and excess application	HKD 2 per board lot	HKD 100 / RMB 100
Share Consolidation / Subdivision	Waived	-
Handling fees on entitlement exercise including privatization, cash / share offer, warrants & CBBC	HKD 0.8 per board lot, plus HKD 100 handling fee (Charge of HKD 0.8 levied by HKSCC, excluding stamp duty)	-
Registration and proxy arrangement for AGMs	HKD 50 per participant per stock	-

Other Service Charges

Item	Rate	Minimum Charge
Fund transfer on CHATS	HKD 250 per transaction	-
Wire transfer	HKD 300 per transaction	-
Amendment of wire transfer	Determined by handling banks	-
Bounced cheque	HKD 150 per bounce	-
Dormant account (No transaction for one calendar year, yet securities)	HKD 100 per year (Cut-off as of 31 December)	-

holdings are maintained in the account)			
Statement by post		HKD 200 per month	-
Statement reissuance	Electronic version	Waived for statement of last three months HKD 200 per statement for more than last three months	-
	Print version	HK\$100 per statement of last three months HK\$300 per statement for more than three months	
Dividend claim		HK\$1,000 per claim, plus 0.5% of dividend for each instalment	-
Custody fees		Waived	-

The above-mentioned fees and charges are the latest information as of September 2023. Arta Global Markets Limited reserves the right to amend or alter any of the said fees and charges and/or to introduce new fees and/or charges at any time with prior notice.