

Securities Stock Trading

Item		Rate	Minimum/Maximum Charge	Remarks
Applicable to Hong Kong stocks				
Brokerage commissions	Low-touch Trading	0.08% of transaction amount	Min HKD30	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min HKD100	Calculated on a per-trade basis
Odd Lots Trading Service Charges (For sell transaction only)				
Brokerage Commissions	High-touch Trading	0.25% of transaction amount	Min HKD100	If the transaction amount is less than \$100, a commission of \$100 will be charged
Hong Kong trading market fees				
Stamp duty		HKD 1.00 for every HKD 1,000 transaction amount (0.10%)	Min HKD1, Less than \$1 will also be counted as \$1	Charge levied by HKSAR Government
HKSCFC transaction levy		0.0027% of transaction amount	-	Charge levied by HKSCFC
HKEx trading fees		0.00565% of transaction amount	-	Charge levied by HKEx
Settlement fee		0.002% of transaction amount	Min HKD 4	Per trade order
FRC Transaction Levy		0.00015% of transaction amount	-	Count to the nearest cent, and will be collected from January 1, 2022

IPO Service

Items		Rate	Maximum/Minimum Charge	Remarks
IPO subscription fee	Cash	Low-touch Rate: Waived High-touch Service: HKD 30 per application	-	No refund on subscription fee whether there is allocated shares / shares successfully debut.
	Margin	HKD 100 per application		

Physical Scrip Handling and Settlement-Related Services

Item		Rates	Maximum/Minimum Charge	Remarks
Deposit physical shares		Certificate size \geq HKD 500,000: Free Certificate size $<$ HKD 500,000: HKD 500 (first certificate per day); HKD 300 (each additional certificate per day) HKD 5 per transfer form / share certificate	-	A stamp fee of HK\$5 per transfer paper / stock stamp is charged
Withdraw physical shares		HKD 10 per board lot, Charge of HKD 3.5 per board lot levied by HKSCC) (Odd lots are also counted as one lot)	Min HKD 500	-
SI / ISI Input	Delivery	HKD 5 per board lot	Min HKD 500	-
	Receipt	Waived	-	-

Nominee Services and Corporate Actions

Item		Rate	Maximum/Minimum Charge	Remarks
Registration & transfer fee		HKD 1.5 per board lot	-	Odd lots are also counted as one lot
Dividend collection		0.50% of dividend amount per stock	Min HKD 20 / RMB 20 / USD 5	-
Bonus share collection		HKD 2 per board lot (Bonus shares are counted as bonus shares, and odd lots are also counted as one lot)	Min HKD 20 / RMB 20 (Maximum: HKD / RMB 2,000)	-
Warrants / Rights collection		HK\$2 per board lot (In terms of warrants/rights issues, odd lots are also counted in the lot)	Min HKD 20 / RMB 20 (Maximum: HKD /	-

		RMB 2,000)	
Right exercise, public offering subscription and excess application	HKD 2 per board lot (Odd lots are also counted as one lot)	Min HKD 100 / RMB	-
Share Consolidation / Subdivision	Waived	-	-
Handling fees on entitlement exercise including privatization, cash / share offer, warrants & CBBC	HKD 0.8 per board lot, plus HKD 100 handling fee	-	Charge of HKD 0.8 levied by HKSCC, excluding stamp duty Odd lots are also counted as one lot Government stamp duty is also payable
Registration and proxy arrangement for AGMs	HKD 50 per participant per stock	-	

China Securities Trading

Item		Rate	Maximum/Minimum Charge	Remarks
Available for China Connect				
Brokerage Commissions	Low-touch Trading	0.08% of transaction amount	Minimum 30 RMB	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Minimum 100 RMB	Calculated on a per-trade basis
China Connect Market Fees				
Handling fee		0.00341% of bilateral transaction amount on A shares 0.004% of bilateral transaction amount on ETFs	-	Charge levied by SSE / SZSE
Securities management fee		0.002% of bilateral transaction amount	-	Charge levied by CSRC
Transfer fee		0.004% of bilateral transaction denomination	-	1. (0.00200% charge levied by CSDC; 2. 0.00200% charge levied by HKSCC)
Stamp duty (For sell trade only)		0.050000% of transaction amount	-	Charge levied by China SAT
Portfolio fee		0.008% p.a. of total market capitalization, daily accrual, monthly payment	-	Charge levied by HKSCC

Global Securities Trading

Item		Rate	Maximum/Minimum Charge	Remarks
Applicable to the U.S. stock market				
Brokerage Commissions	Low-touch Trading	0.08% of transaction amount	Min USD 10	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min USD 30	Calculated on a per-trade basis
Trading and clearing fees		Trading fee: USD 0.000145 per share (for sell transaction only) HKSFC fee: 0.00229% x net amount of selling (for sell transaction only) NSCC fee: USD 0.25 per transaction (applicable to both buy and sell transaction) Clearing Firm Fee: USD 0.003 per share	-	-
Dividend tax		30% of total dividend	-	-
Corporate action expenses		USD 30 per transaction	-	-
Dividend collection		USD 5 per transaction	-	-
Share Deposit		Free		No refund whether the instruction is successfully processed or not
Share Withdrawal		USD 120 per transaction		
Share deposit to / withdrawal from transfer office (FOP via DWAC / DRS)		USD 200 per transaction		

Applicable to the U.K. stock market				
Brokerage Commissions	Low-touch Trading	0.15% of transaction amount	Min GBP 20	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min GBP 30	Calculated on a per-trade basis
Trading Fee		PTM levy: GBP 1 Exchange fee: 0.0045% of transaction amount Stamp duty (buy only) : GBP-denominated shares: 0.5% of transaction amount / Euro-denominated stocks: 1.0%	-	-
Dividend Tax		10% of total dividend	-	-
Dividend collection		1% of net dividends	Min GBP 10	-

Applicable to the Australian Stock Market				
Brokerage Commissions	Low-touch Trading	0.20% of transaction amount	Min AUD 30	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min AUD 50	Calculated on a per-trade basis
Dividend Tax		0%/15%/30% of total dividends	-	based on the nationality of the customer
Dividend collection		1% of net dividends	Min AUD 10	-
Corporate Action Expenses		AUD 10 per transaction	-	-

Applicable to the Singapore Stock Market				
Brokerage Commissions	Low-touch Trading	0.25% of transaction amount	Min SGD 15	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min SGD 15	Calculated on a per-trade basis
Trading Fee		Settlement Fee: 0.0325% of transaction amount Transaction fee: 0.0075% of transaction amount	-	-
Dividend collection		1% of net dividends	Min SGD 10	-
Corporate Action Expenses		SGD 10 per transaction	-	-

Applicable to the Japanese Stock Market				
Brokerage Commissions	Low-touch Trading	0.20% of transaction amount	Min JPY 2,000	Calculated on a per-trade basis
	High-touch Trading	0.25% of transaction amount	Min JPY 3,000	Calculated on a per-trade basis
Dividend Tax		15.315% of total dividends	-	-
Dividend collection		1% of net dividends	Min JPY 500	-
Corporate Action Expenses		JPY 1,000 per transaction	-	-

Applicable to the Canadian Stock Market				
Brokerage Commissions		\$0.01 CAD per share	Min CAD 10	Calculated on a per-trade basis
Dividend Tax		30% of total dividend	-	Based on the stock
Dividend collection		CAD 12 per transaction	-	-

Applicable to the French Stock Market				
Brokerage Commissions		0.05% of transaction amount	Min EUR 10	Calculated on a per-trade basis
Trading Fee		FTT: 0.20% (For buy transaction only)	-	-
Dividend collection		EUR 10 per transaction	-	-

Applicable to the German Stock Market				
Brokerage Commissions		0.05% of transaction amount	Min EUR 10	Calculated on a per-trade basis
Dividend collection		EUR 10 per transaction	-	-

Global Markets Corporate Bond Service Fees

Item		Rate	Maximum/Minimum Charge	Remarks
Brokerage Commissions	Low-touch Trading	First USD 10,000 in Face Value: 0.11% of face value Additional face value above USD 10,000: 0.035% of face value	Min USD 1 Maximum: The smaller of USD 250 or 1.00% of transaction amount	Calculated on a per-trade basis
	High-touch Trading	0.25% of face value	-	Calculated on a per-trade basis
Brokerage Commissions (United States – Government Treasuries)	Low-touch Trading	First USD 1,000,000 in Face Value: 0.012% of face value Additional face value above USD 1,000,000: 0.0101% of face value	Min USD 30	Calculated on a per-trade basis
	High-touch Trading	0.015% of face value	Min USD 50	Calculated on a per-trade basis
Bond deliver / receive fees		USD 38 per bond	-	-
Custody		0.12% p.a. of the total market value	Min USD 20 per month	Charge to account on monthly basis

Financing Rates

Currency	Margin account (financing interest).	Cash Account (Interest on Overdue Payments).	Remarks
HKD	HIBOR+7.5% p.a.	Hibor + 7.5% p.a.	1. Charges and interest are on daily accrued basis and by monthly payment. 2. Not applicable to clients with specific trading agreement set up
RMB	CNH HIBOR+9% p.a.	CNH HIBOR + 9% p.a.	
USD	EFFR + 6.5% p.a.	EFFR + 6.5% p.a.	
Other Currency	Please contact Customer Service for inquiries	Upon Request	

Other Service Charges

Item		Rate	Maximum/Minimum Charge	Remarks
Local cash transfer withdrawals		HKD 40 per transaction	-	-
Wire Transfer		HK\$300 per transfer (English) / HK\$600 per case (Chinese)	-	-
Amendment of Wire Transfer		Subject to relevant bank charges	-	-
Return Cheque		HKD 150 per bounce	-	-
Inactive Account (Applicable to users who have no trading activity in 1 year and have cash/stocks in their accounts at the end of the period)		HKD 100 per month	-	Cut-off date is the last day of each month
Statement by post		HKD 500 per application	-	-
Audit statements		HKD 500 per application	-	-
Mail statements		HKD300 per month	-	-
Resend statements	Electronic version	Waived within last 3 months HK\$300 per copy for more than 3 months	-	-
	Printable version	HK\$200 per copy within the last 3 months HK\$400 per copy for more than 3 months		
Dividend claim		HK\$1,000 per claim, plus 0.5% of dividend for each instalment	-	-
Custody Fee		HKD 50 per month	-	Applicable to users have stocks in their account
Account Maintenance Fee		HKD 50 per month		

The above-mentioned fees and charges are the latest information as of April 2024. Arta Global Markets Limited reserves the right to amend or alter any of the said fees and charges and/or to introduce new fees and/or charges at any time with prior notice.

Effective on April 2024